

03/29/01
19867 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

jc997 U.S. PTO
09/823222
03/29/01

03/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status
See 37 CFR 1.27.

3. Specification [Total Pages 10]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

5. Oath or Declaration [Total Pages 3]
 a. Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)

 i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	30914/7:1
First Inventor	Uli Behringer
Title	AUDIO CIRCUITRY FOR DYNAMIC . . .
Express Mail Label No.	EL414489559US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS		
9	<input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10	<input type="checkbox"/> 37 CFR 3.73(b) Statement	<input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)
11	<input type="checkbox"/> English Translation Document (if applicable)	
12	<input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
13	<input type="checkbox"/> Preliminary Amendment	
14	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15	<input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16	<input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17	<input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No _____/_____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	3528 (Insert Customer No. or Attach bar code label here)	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Richard B. Preiss		
Address	Stoel Rives LLP 900 SW Fifth Ave., Suite 2600		
City	Portland	State	OR
Country	USA	Telephone	503.224.3380
Name (Print/Type)	Richard B. Preiss	Registration No. (Attorney/Agent)	36,640
Signature	Richard B. Preiss	Date	3/29/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

Application Number	
Filing Date	Concurrently herewith
First Named Inventor	Uli Behringer
Examiner Name	
Group Art Unit	
Attorney Docket No	30914/7:1

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	19-4455
Deposit Account Name	Stoel Rives LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	228	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility issue fee (or reissue)
143	440	243	220 Design issue fee
144	600	244	300 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 0)

**or number previously paid, if greater. For Reissues, see above.

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	RICHARD B. PREISS	Registration No. (Attorney/Agent)	36,640	Telephone 503.224.3380
Signature	Richard B. Preiss		Date	3/29/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Application No.:

Filed: Concurrently Herewith

Inventor: **Uli Behringer and Jan Duwe**

Title: **AUDIO CIRCUITRY FOR DYNAMICS REDUCTION**

Attorney Docket No.: 30914/7:1

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing
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Date of Deposit March 29, 2001

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ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO
THE COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231


Signature

SANDRA LEKAR

Typed or printed name of person signing
certificate

Attachment:

Utility Patent Application Transmittal, 10 pages of specification and claims,
3 sheets of drawings, Combined Declaration and Power of Attorney for
Patent Application, Patent Application Fee Determination Record, Fee
Transmittal (in duplicate), Data Sheet, check for \$710 in payment of filing
fee, Information Disclosure Statement, PTO-1449 (with reference) and
return receipt postcard.